

DUKE Rec'd PTO 13 SEP 2004

10/507410

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

ROGER Y. LEUNG, ET AL.

Docket: H0002489 (4780)

Serial Number:

Group Art Unit:

Filed:

Examiner:

For: LOW METAL POROUS SILICA DIELECTRIC FOR INTEGRAL CIRCUIT  
APPLICATIONS

DISCLOSURE UNDER 37 C.F.R. 1.56

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

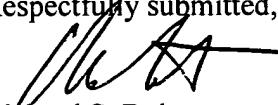
Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. Copies of the documents are also being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicant does not waive any rights to file Affidavits under 37 C.F.R. 1.131, to copy claims from the listed patent documents, or to take any other action which would be appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

Respectfully submitted,

  
Richard S. Roberts

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Date: September 13, 2004

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO: H0002489	SERIAL NO.: <b>10/507410</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			APPLICANT: ROGER Y. LEUNG, ET AL.	PTO Rec'd PCT/PTO 13 SEP 2004
(Use several sheets if necessary)			FILING DATE:	GROUP:

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,022,812	02/08/2000	SMITH, ET AL.	438	761	
	AB	6,037,275	03/14/2000	WU, ET AL.	438	780	
	AC	6,063,714	05/16/2000	SMITH, ET AL.	438	778	
	AD	6,126,733	10/03/2000	WALLACE, ET AL.	106	287.16	
	AE	6,140,746	10/31/2000	MIYASHITA, ET AL.	310	358	
	AF	US 6,204,202 B1	03/20/2001	LEUNG, ET AL.	438	781	
	AG	US 6,225,367 B1	05/01/2001	CHAOUK, ET AL.	521	149	
	AH	US 2001/0038919 A1	11/08/2001	BERRY, III, ET AL.	428	446	
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

						TRANSLATION		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL	WO 01/86709 A2	Nov. 15, 2001	PCT				
	AM	WO 00/13220	Mar. 9, 2000	PCT				
	AN	EP 1 035 183 A1	Sep. 13, 2000	EUROPE				
	AO	EP 1 088 848 A1	Apr. 4, 2001	EUROPE				
	AP	WO 99/23101	May 14, 1999	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	AQ	
	AR	
	AS	
	AT	
	AU	

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO: H0002489	SERIAL NO.: <b>10/507410</b>
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**U.S. PATENT DOCUMENTS**

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	AV						
	AW						
	AX						
	AY						
	AZ						
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						

**FOREIGN PATENT DOCUMENTS**

						TRANSLATION		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	BG	WO 98/47177	Oct. 22, 1998	PCT				
	BH	EP 1 142 832 A1	Oct. 10, 2001	EUROPE				
	BI							
	BJ							
	BK							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	BL	
	BM	
	BN	
	BO	
	BP	

EXAMINER

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